



COUNTY GOVERNMENT OF NAKURU

DRAFT NAKURU/NAIVASHA MUNICIPAL INVESTMENT PLAN AND BUDGET

2018/19 – 2021/22

Department of Lands Housing and Physical Planning

March 2019

Background

The five year Municipal Investment Development Plan (IDeP), as prescribed by the Urban Area and Cities Act, provides the framework for priority actions and investments for a county government. County Governments elected in August 2017 are expected to make such a plan in the first months after coming into office. It is expected to guide actions and investments over the 5 year period of their reign. The IDeP is expected to be reviewed annually.

Against that background, the Annual Municipal Investment Plan and Budget is the more specific annual justification for urban investments in particular year. Apart from other sources, it would also include the Urban Development Grant (UDG) for the next financial year.

The Annual Municipal Investment Plan and Budget needs to be approved by the Urban Board. Its budget needs to be within the budget ceiling provided by the county government.

The Annual Municipal Investment Plan and Budget is part of the county's budget and will, as part of the overall budget discussion, need to be approved by the county assembly.

The Annual Municipal Investment Plan and Budget should be made public by posting it on the county's website. It is not expected to be more than 3-5 pages in length, exclusive of the annexes with pre-feasibility studies. Latter to include the results of the social and environmental impact screening (See POM, Vol II, Annex 18).

On the next page, the template for the annual Institutional Development plan and budget is provided. Availability of such a plan by 31st of March each year is a minimum condition to access the UDG next financial year.

PROPOSED KUSP PROJECTS IMPLEMENTATION PLAN FROM FY 2018/2019 TO FY 2022/23

NAKURU MUNICIPALITY

Proposed Project as prioritized	Budget Estimates (Ksh)	Financial Allocation 2018/19	Financial Allocation 2019/20	Financial Allocation 2020/21	Financial Allocation 2021/22	Financial Allocation 2022/23	Actor
Stadium with a conference centre	1.5billion	303 Million	300 Million	300 Million	300 million	297 million	Director Sports.
Multi-storied Parking	250 Million	126 Million	100 Million	50 million			Director Roads
Disaster management centre	218 million	168 million	50 Million	-			Director Public works
Storm water drainage in Nakuru (concrete channels- 40 km length)	1.5 billion	143 Million	150 million	300 Million	100M	300 million	County Director of Roads
Water and sewerage reticulation network in Nakuru county estates	800 Million	-	100M	200M	200M	300 M	County Director of Water
Integrated Solid waste Management, equipment and transfer stations	100 million				100 Million		County Director Environment
Renovation of Menengai Social Hall	70 million	-	70 Million				County Director Social Services
Total		740M	770M	920M	700M	897M	
NAIVASHA MUNICIPALITY							

	Budget Estimates (Ksh)	Financial Allocation 2018/19	Financial Allocation 2019/20	Financial Allocation 2020/21	Financial Allocation 2021/22	Financial Allocation 2022/23	Actor
Roads and Storm water drainage in Naivasha(concrete channels, masonry channels- 14km length)	350 million	190 Million	160 Million	-	-	-	County Director Roads.
Rehabilitation of Bus Parks (Kinangop, Nakuru stage in Naivasha town)	300 Million	-	150 Million	150 Million			County Director Roads.
Naivasha Market with access roads (2no. storey with a capacity of 708 traders)	600 million	150 Million	200 Million	200 Million	50M		County Director Trade
Integrated Solid waste Management, equipment and transfer stations	70M	-	70M				
Non-Motorized Transport Facilities(Concrete slabs Paving) with Footbridge and street lights- 17km)	585 million	-	150 Million	150 Million	135 Million	150 Million	County Director Roads.
Water & sewerage reticulation system	235 million	-	50 Million	100 Million	100 Million	85 Million	County Director Water

NB

Some of the projects which have exceeded the Conditional grant allocation per respective municipality per year can be counter funded by the County Government. The projects prioritization will be reviewed three (3) months before start of the subsequent financial year.

Introduction

- *Indicate who prepared / wrote the plan*
(Methodology used in the preparation of the Investment Plan)
- *Briefly indicate who were consulted / Involved in preparation of the plan;*
Explicitly describe how citizens were engaged and describe the role of the citizen fora.
(Brief highlight of the public participation meetings)

2. Context

- *Summary of the main objectives as identified in the 5-year Urban IDeP.*
(Also Refer to CIDP and ISUDPs on broad goals of the Urban development)
- *[except for year 1] Provide a brief overview of the activities already undertaken in the context of the Urban IDeP*
(Achievements for FY 2018/2019)
- *Describe changes -if any- to the IDeP following review by the urban board - and provide a description of the rationale and considerations for such changes.*

3. Investment prioritisation

- *Re-iterate the priorities as identified by the Urban Board*
- *Summarize priorities raised by the county government (Assembly and executive)*
- *Summarise priorities and issues raised during citizen fora*
- *Describe consensus reached - priorities as retained*

4. Urban Investment Plan and Budget FY 20../ 20...

- *Present in table format (see Table below) the proposed list of projects and the tentative estimate of costs*

Table1 : Municipal Investment Budget FY 20... /20...							
#	Activity*)	Timeframe		Budget			
		Start date	End date	UDG	Other-1	Other-2	Total
1.							
2.							
3.							
4.							
5.							

	<i>Total</i>					
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*) Separate detailed design- and other preparatory work from actual project implementation (treat in the table as two separate projects)

- Describe under this heading each of the projects in brief, and provide the rationale and justification for their inclusion in the plan.
- At least as far as UDG funded projects are concerned, show that the projects suggested meet all UDG criteria, in terms of eligibility, minimum project size (USD 500,000), need to complete the project(s) within one FY (no partial funding), and the social and environmental screening.
- Attach as annexes detailed pre-feasibility studies that include a social and environmental impact screening (See POM, Vol II, Annex 18).
- Make in the table a distinction between detailed design and other preparatory work and actual implementation in terms of timing and budget (i.e. treat these as two separate projects).
- Add a Gantt chart (as shown below)

Table 2: Gantt Chart Municipal Investment Budget FY 20... /20...													
#	Activity	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE
1.													
2.													
3.													
4.													
5.													

5. Expected outcomes

- Describe the expected outcomes of the projects and indicate how they relate to the priorities as expressed by citizens, the urban board and the county government (as discussed under Section 3 above).

6. Implementation modalities and timeframe

- Describe who will be responsible for implementation of the proposed activities
- Indicate how procurement will be organised
- Make a realistic assessment of what can be completed in the FY and what need to be scheduled for the subsequent FY. Reflect this in the Gantt chart
- Make a distinction between the role of the county and the role of the urban board(s)/administration(s) as appropriate.

Annexes: Pre-feasibility studies for each proposed project, including the social and environmental check-list (see POM, Vol II, Annex 18)

Source : Derived from the draft Kenya Urban Programme Operations Manual (POM)

See Appendices 4 and 5 of this manual for the sample screening checklists for the above guidelines.

Appendix 1: Project Identification Screening checklist

File to be opened for each tentative sub-project

Name of the project:				
Location of project:				
Brief description of the project:				
PROJECT IDENTIFICATION STAGE - PRIOR TO DETAILED PROJECT DESIGN				
Questions to be answered (boxes to be ticked) prior to projects being added to the shortlist or included in a plan or budget:		NO	YES	MAYBE
Assess possible adverse environmental impact				
1.	Could the project lead to irreversible environmental impacts for the beneficiaries of the project or for third parties?			
2.	Could the project If implemented have a negative and irreversible Impact on the natural habitat?			
3.	Could the project If implemented have a negative Impact on any cultural resources?			
4.	Is there scope for any concerns that the project, during implementation, or once completed, may cause unmitigable serious occupational or health risks?			
Ass ess possible adverse social impact				
5.	Does the project require physical displacement of households?			
6.	Does the project require economic displacement of more than 200 persons?			
7.	Is the project likely to create or exacerbate conflict within communities or neighbouring counties?			
8.	Is there a possibility that the project would have significant negative impact on vulnerable and/or marginalized and/or indigenous groups?			
9.	Does the project require acquisition of land ?			
<ul style="list-style-type: none"> • If any of the questions 1 to 8 above is answered with ‘Yes’, the project can NOT be funded under UDG - and should not progress to a detailed design phase. • If question 9 is answered with ‘yes’, special procedures need to be follow as outlined in this POM • For every question answered with ‘Maybe’ the situation need to be further investigated before taking a decision to go for full design and before including it in any budget for UDG funding. • Projects for which all answers 1-9 are ‘No’ - could go for detailed design. 				

Filled by : Name : _____ Position : _____ Date : ___/___/___	Signature:
Verified by : Name : _____ County social and environmental safeguards officer Date : ___/___/___	Signature:

Appendix 2: Project Preparation Screening checklist

Name of the project:	
Location of project:	
Brief description of the project:	

#	Answer if the Project ?	<input type="checkbox"/> Tick one Yes / No	
En vironmental Impacts			
1.	Adversely affect natural habitats nearby, including forests, rivers or wetlands?		
2.	Require large volumes of construction materials (e.g. gravel, stone, water, timber, firewood)?		
3.	Use water during or after construction, which will reduce the local availability of groundwater and surface water?		
4.	Affect the quantity or quality of surface waters (e.g. rivers, streams, wetlands), or groundwater (e.g. wells, reservoirs)?		
5.	Be located within or nearby environmentally sensitive areas (e.g. intact natural forests, mangroves, wetlands) or threatened species?		
6.	Lead to soil degradation, soil erosion in the area?		
7.	Create waste that could adversely affect local soils, vegetation, rivers and streams or groundwater?		
8.	Create pools of water that provide breeding grounds for disease vectors (for example malaria or bilharzia)?		
9.	Involve significant excavations, demolition, and movement of earth, flooding, or other environmental changes?		
10.	Affect historically-important or culturally-important site nearby?		
11.	Require land for its development, and therefore displace individuals, families or businesses from land that is currently occupied, or restrict people's access to crops, pasture, fisheries, forests or cultural resources, whether on a permanent or temporary basis?		
12.	Result in human health or safety risks during construction or later?		

13.	Involve inward migration of people from outside the area for use of services or other purposes?		
14.	Increase tension/ conflict or disputes among or within communities?		
15.	Affect indigenous people, or be located in an area occupied by indigenous people?		
16.	Be located in or near an area where there is an important historical, archaeological or cultural heritage site?		
17.	Disposal of bush clearance residue may cause spreading of invasive species?		
18.	Has potential to introduce a non-native animal or plant species?		
19.	Involve directly or indirectly handling of veterinary drugs and vaccines?		
#	Answer if the Project ?	<input type="checkbox"/> Tick one Yes / No	
Social Impacts Assessment			
20.	Does the project require acquisition of land ?		
21.	Does the project require physical displacement of households?		
22.	Does the project require economic displacement of persons (less than 200 persons)?		
23.	Is the project likely to create or exacerbate conflict within communities or neighbouring counties?		
24.	Is there a possibility that the project would have significant negative impact on vulnerable and/or marginalized and/or indigenous groups?		
25.	Result in a significant change/loss in livelihood of individuals?		
26.	Cause increased settlement or degradation of surrounding areas?		
27.	Adversely affect the livelihoods and /or the rights of women?		
Public Participation and Consultations and Grievance Redress Mechanisms			
28.	Has not extensively consulted and included beneficiaries and project affected persons in the selection, planning and project benefits?		
29.	Maintenance and management responsibilities have not been defined and accepted by concerned parties?		
30.	Has setup Grievance Redress Mechanisms?		

Filled by : Name : _____ Position : _____ Date : ___/___/___	Signature:
Verified by : Name : _____ County social and environmental safeguards officer Date : ___/___/___	Signature:

If Yes to any of the above, then a ESIA project report or Environmental and Social Management Plan (ESMP) should be developed, submitted and approved by NEMA as described in the POM as per annex 3 below. In addition, other necessary instruments i.e. Abbreviated Resettlement Action plan (ARAP) should be developed and approved by NLC and other relevant authorities/stakeholders as described in the POM.